IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 40072-0018

Applicant(s):

Takehiko ŚAWABE et al.

Confirmation No.

Appl. No.:

Unassigned

Examiner: Unassigned

Filing Date:

Concurrently Herewith

Group Art Unit: Unassigned

Title:

MONOESTER OF MALONIC ACID AND PROCESS FOR

PRODUCING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of each of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

RELEVANCE

The foregoing documents came to the Applicants attention during a search of the corresponding international application. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

An English language translation of the prior art documents are not readily available; however, the absence of such translations do not relieve the PTO from its duty to consider the submitted documents (37 CFR §1.98 and MPEP §609). An English abstract of the cited references are attached.

TIMING/FEE

The instant Information Disclosure Statement is being filed in compliance with 37 CFR §1.97(b) within three months from the filing date of the above-identified application, therefore, no fee is required in connection with its filing.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Data

HELLER EHRMAN LLP

1717 Rhode Island Avenue, N.W.

Washington, D.C. 20036

Telephone:

(202) 912-2000

4-15-05

Facsimile:

(202) 912-2020

Respectfully submitted,

John P. Isacson

Attorney for Applicant

Reg. No.: 33,715

Customer No. 26633

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for for	ute for form 1449A/PTO		Complete if Known			
			Application Number	Unassigned		
INFORM	ATION DIS	CLOSURE	Filing Date	Concurrently Herewith		
STATEMENT BY APPLICANT			First Named Inventor	Takehiko SAWABE et al.		
•			Group Art Unit	Unassigned	_	
(use as many sheets as necessary)			Examiner Name	Unassigned		
Sheet 1	of	1	Attorney Docket Number	40072-0018		

U.S. PATENT DOCUMENTS						
Examiner Cite No.1		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	No.1	Number - Kind Code ² (if known)	MM-DD-YYYY	or cited bocament		
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		Foreign Patent Document	TENT DOCU		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
Examiner Initials*	Cite No.	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
	A01	JP 08-325526	12/10/1996	TOSOH CORP		
	A02	JP 63-255280	10/21/1988	NIPPON REDARII KK		

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.